

# Multivariate vector sampling expansions in shift invariant subspaces<sup>☆</sup>

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## Abstract

In this paper, we study multivariate vector sampling expansions on general finitely generated shift-invariant subspaces. Necessary and sufficient conditions for a multivariate vector sampling theorem to hold are given.

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## 1. Introduction and Main Results

If  $H$  is a Hilbert space, we define  $H^{(q)} = H \times H \times \cdots \times H$  ( $q$  term). Given  $f = (f_1, f_2, \dots, f_q)^T, g = (g_1, g_2, \dots, g_q)^T \in H^{(q)}$ , the inner product  $f$  and  $g$  is defined by

$$\langle f, g \rangle_{H^{(q)}} = \sum_{p=1}^q \langle f_p, g_p \rangle_H.$$

Let  $\varphi_j = (\varphi_{j,1}, \varphi_{j,2}, \dots, \varphi_{j,r})^T \in L^2(\mathbb{R}^d)^{(r)}$ ,  $1 \leq j \leq N$  be a stable generator for the shift-invariant subspace

$$V_\varphi^2 := \left\{ \sum_{j=1}^N \sum_{\alpha \in \mathbb{Z}^d} a_{j,\alpha} \varphi_j(\cdot - \alpha) : \left\{ a_{j,\alpha} : 1 \leq j \leq N, \alpha \in \mathbb{Z}^d \right\} \in \ell^2(\mathbb{Z}^d)^{(N)} \right\}.$$

i.e., the sequence  $\{\varphi_j(\cdot - \alpha) : 1 \leq j \leq N, \alpha \in \mathbb{Z}^d\}$  is a Riesz basis for  $V_\varphi^2$ . Recall that  $\{\varphi_j(\cdot - \alpha) : 1 \leq j \leq N, \alpha \in \mathbb{Z}^d\}$  is a Riesz basis for  $V_\varphi^2$ , if there

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exist two constants  $A, B > 0$  such that for any  $\{c_{j,\alpha} : 1 \leq j \leq N, \alpha \in \mathbb{Z}^d\} \in \ell^2(\mathbb{Z}^d)^{(N)}$  one has

$$A \sum_{j=1}^N \sum_{\alpha \in \mathbb{Z}^d} |c_{j,\alpha}|^2 \leq \left\| \sum_{j=1}^N \sum_{\alpha \in \mathbb{Z}^d} c_{j,\alpha} \varphi_j(\cdot - \alpha) \right\|_{L^2(\mathbb{R}^d)^{(r)}}^2 \leq B \sum_{j=1}^N \sum_{\alpha \in \mathbb{Z}^d} |c_{j,\alpha}|^2.$$

We assume throughout the paper that the vector functions in the shift-invariant subspace  $V_\varphi^2$  are continuous on  $\mathbb{R}^d$ . Equivalently (see [1] or [2]), that the generator  $\varphi_j, 1 \leq j \leq N$  is continuous on  $\mathbb{R}^d$  and

$$\sup_{x \in \mathbb{R}^d} \sum_{j=1}^N \sum_{p=1}^r \sum_{\alpha \in \mathbb{Z}^d} |\varphi_{j,p}(x - \alpha)|^2 < \infty.$$

If  $V_{\varphi_j}^2 (1 \leq j \leq N)$  is defined by

$$V_{\varphi_j}^2 := \left\{ \sum_{\alpha \in \mathbb{Z}^d} a_\alpha \varphi_j(\cdot - \alpha) : \{a_\alpha : \alpha \in \mathbb{Z}^d\} \in \ell^2(\mathbb{Z}^d) \right\}.$$

Then we have

$$V_\varphi^2 = \sum_{j=1}^N V_{\varphi_j}^2.$$

Define  $T_{\varphi_j} : L^2([(j-1)/N, j/N]^d) \rightarrow V_{\varphi_j}^2$ , by

$$T_{\varphi_j} F = \sum_{\alpha \in \mathbb{Z}^d} c_{F,j,\alpha} \varphi_j(\cdot - \alpha),$$

where  $c_{F,j,\alpha} = N^{d/2} \int_{[(j-1)/N, j/N]^d} F(x) e^{2\pi N i \alpha^T \cdot x} dx$ .

For convenience, in this paper we make  $\chi_p(x) = \chi_{[(p-1)/N, p/N]^d}(x)$  and  $e_\alpha(x) = N^{d/2} e^{-2\pi N i \alpha^T \cdot x}$ .

**Lemma 1.1.**  $T_\varphi = \sum_{j=1}^N T_{\varphi_j}$  is an isomorphism between  $L^2[0, 1]^d$  and  $V_\varphi^2$ .

**Proof.** Since the sequence  $\{\varphi_j(\cdot - \alpha) : 1 \leq j \leq N, \alpha \in \mathbb{Z}^d\}$  is a Riesz basis for  $V_\varphi^2$ , Therefore, for any  $F \in L^2[0, 1]^d$ , we have

$$\begin{aligned} \|T_\varphi F\|_{L^2(\mathbb{R}^d)^{(r)}}^2 &\leq B \sum_{j=1}^N \sum_{\alpha \in \mathbb{Z}^d} |c_{F,j,\alpha}|^2 = B \sum_{j=1}^N \left\| F \chi_{[(j-1)/N, j/N]^d} \right\|_{L^2[0,1]^d}^2 \\ &= B \|F\|_{L^2[0,1]^d}^2. \end{aligned}$$

Similarly, we also have

$$\|\mathbf{T}_\varphi F\|_{L^2(\mathbb{R}^d)^{(r)}}^2 \geq A \|F\|_{L^2[0,1]^d}^2.$$

□

Given a nonsingular matrix  $M$  with integer entries. Let  $\gamma_k + M^T \mathbb{Z}^d, 1 \leq k \leq m = |\det(M)|$  be the  $m$  distinct elements of the coset space  $\mathbb{Z}^d/M^T \mathbb{Z}^d$  with  $\gamma_1 = 0$ . Define  $Q_k = M^{-T} \gamma_k/N + M^{-T}[0, 1)^d/N, 1 \leq k \leq m$ , we have (see [6, P.110])

$$Q_k \cap Q_{k'} = \emptyset \text{ and } \text{Vol} \left( \bigcup_{k=1}^m Q_k \right) = \frac{1}{N}.$$

Thus, for any function  $F$  integrable in  $[0, 1/N]^d$  and  $\mathbb{Z}^d/N$ -periodic, we have  $\int_{[0,1/N]^d} F(x) dx = \sum_{k=1}^m \int_{Q_k} F(x) dx$ .

Let  $g_j \in L^2[0, 1)^d, 1 \leq j \leq s$ , define

$$G_p(x) := \begin{bmatrix} g_1(x)\chi_p(x) & \cdots & g_1(x)\chi_p(x + M^{-T}\gamma_m/N) \\ g_2(x)\chi_p(x) & \cdots & g_2(x)\chi_p(x + M^{-T}\gamma_m/N) \\ \vdots & \vdots & \vdots \\ g_s(x)\chi_p(x) & \cdots & g_s(x)\chi_p(x + M^{-T}\gamma_m/N) \end{bmatrix}, 1 \leq p \leq N. \quad (1.1)$$

and its related constants

$$\begin{aligned} A_G &:= \min_{1 \leq p \leq N} \underset{x \in [0, 1/N]^d}{\text{ess inf}} \lambda_{\min} [G_p^*(x) G_p(x)], \\ B_G &:= \max_{1 \leq p \leq N} \underset{x \in [0, 1/N]^d}{\text{ess sup}} \lambda_{\max} [G_p^*(x) G_p(x)]. \end{aligned}$$

**Lemma 1.2.** Suppose that  $g_j \in L^2[0, 1)^d, 1 \leq j \leq s$  and  $G_p(x), 1 \leq p \leq N$  is its associated matrix as in (1.1). Then

- (a) The sequence  $\{\overline{g_j(x)}\chi_p(x)e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  is a complete system for  $L^2[0, 1)^d$  if and only if for any  $1 \leq p \leq N$  the rank of the matrix  $G_p(x)$  is  $m$  a.e. in  $[0, 1/N]^d$ .
- (b) The sequence  $\{\overline{g_j(x)}\chi_p(x)e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  is a bessel sequence for  $L^2[0, 1)^d$  if and only if  $B_G < \infty$ . In this case, the optimal Bessel bound is  $B_G/m$ .
- (c) The sequence  $\{\overline{g_j(x)}\chi_p(x)e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  is a frame for  $L^2[0, 1)^d$  if and only if  $0 < A_G \leq B_G < \infty$ . In this case, the optimal frame bounds is  $A_G/m$  and  $B_G/m$ .

(d) The sequence  $\left\{\overline{g_j(x)}\chi_p(x)e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\right\}$  is a Riesz basis for  $L^2[0, 1]^d$  if and only if it is a frame and  $s = m$ .

**Proof.** For any  $F \in L^2[0, 1]^d$ , we have

$$\begin{aligned}
& \left\langle F(x), \overline{g_j(x)}\chi_p(x)e_\alpha(M^T x) \right\rangle_{L^2[0,1]^d} \\
&= \int_{[0,1]^d} F(x)g_j(x)\overline{\chi_p(x)}e_\alpha(M^T x)dx \\
&= \int_{[0,1]^d} F(x)g_j(x)\chi_p(x)e^{2\pi Ni\alpha^T \cdot M^T x}dx \\
&= \int_{[0,1]^d} F(x)\chi_p(x)g_j(x)\chi_p(x)e^{2\pi Ni\alpha^T \cdot M^T x}dx \\
&= \int_{[0,1/N]^d} F(x)\chi_p(x)g_j(x)\chi_p(x)e^{2\pi Ni\alpha^T \cdot M^T x}dx \\
&= \sum_{k=1}^m \int_{Q_k} F(x)\chi_p(x)g_j(x)\chi_p(x)e^{2\pi Ni\alpha^T \cdot M^T x}dx \\
&= \int_{M^{-T}[0,1/N]^d} \sum_{k=1}^m (F\chi_p)(x + M^{-T}\gamma_k/N) \times \\
&\quad (g_j\chi_p)(x + M^{-T}\gamma_k/N)N^{d/2}e^{2\pi Ni\alpha^T \cdot M^T x}dx. \tag{1.2}
\end{aligned}$$

where we have considered the  $\mathbb{Z}^d/N$ -periodic extension of  $F$ . Then

$$\begin{aligned}
& \sum_{j=1}^s \sum_{\alpha \in \mathbb{Z}^d} \left| \left\langle F(x), \overline{g_j(x)}\chi_p(x)e_\alpha(M^T x) \right\rangle_{L^2[0,1]^d} \right|^2 \\
&= \frac{1}{m} \sum_{j=1}^s \left\| \sum_{k=1}^m (F\chi_p)(x + M^{-T}\gamma_k/N) \times \right. \\
&\quad \left. (g_j\chi_p)(x + M^{-T}\gamma_k/N) \right\|_{L^2(M^{-T}[0,1/N]^d)}^2.
\end{aligned}$$

Denoting  $\mathbb{F}_p(x) := ((F\chi_p)(x), \dots, (F\chi_p)(x + M^{-T}\gamma_m/N))^T$ , the above reads

$$\begin{aligned}
& \sum_{j=1}^s \sum_{\alpha \in \mathbb{Z}^d} \left| \left\langle F(x), \overline{g_j(x)}\chi_p(x)e_\alpha(M^T x) \right\rangle_{L^2[0,1]^d} \right|^2 \\
&= \frac{1}{m} \|G_p(x)\mathbb{F}_p(x)\|_{L^2(M^{-T}[0,1/N]^d)^{(s)}}^2. \tag{1.3}
\end{aligned}$$

Since  $\gamma_1, \gamma_2, \dots, \gamma_m$  are representatives of distinct cosets of  $\mathbb{Z}^d/M^T\mathbb{Z}^d$ , therefore for any  $1 \leq k \leq m$  the matrix  $G(x + M^{-T}\gamma_k/N)$  has the same columns of  $G(x)$ . Hence, for any  $1 \leq p \leq N$   $\text{rank } G_p(x) = m$  a.e. in  $[0, 1/N]^d$  if and only if  $\text{rank } G_p(x) = m$  a.e. in  $M^{-T}[0, 1/N]^d$ . Moreover, we have

$$\text{ess inf}_{x \in [0, 1/N]^d} \lambda_{\min} [G_p^*(x) G_p(x)] = \text{ess inf}_{x \in M^{-T}[0, 1/N]^d} \lambda_{\min} [G_p^*(x) G_p(x)]$$

and

$$\text{ess sup}_{x \in [0, 1/N]^d} \lambda_{\min} [G_p^*(x) G_p(x)] = \text{ess sup}_{x \in M^{-T}[0, 1/N]^d} \lambda_{\min} [G_p^*(x) G_p(x)]$$

To prove (a), assume that there exists set  $\Omega \subseteq M^{-T}[0, 1/N]^d$  with positive measure and  $1 \leq p_0 \leq N$  such that  $\text{rank } G_{p_0}(x) < m$ ,  $x \in \Omega$ . Then, there exists a measurable function  $v(x)$ , such that  $G_{p_0}(x)v(x) = 0$  and  $|v(x)| = 1$  in  $\Omega$ . Define  $F \in L^2[0, 1]^d$  such that  $\mathbb{F}_{p_0}(x) = v(x)$  if  $x \in \Omega$ ,  $\mathbb{F}_{p_0}(x) = 0$  if  $x \in M^{-T}[0, 1/N]^d \setminus \Omega$  and  $\mathbb{F}_p(x) = 0$  if  $p \neq p_0$ . Hence, from (1.3) we obtain the system is not complete. conversely, if the system is not complete, by using (1.3) we obtain a  $\mathbb{F}_{\bar{p}}(x)$  different from 0 in a set with positive measure such that  $G_{\bar{p}}(x)\mathbb{F}_{\bar{p}}(x) = 0$ . Thus  $\text{rank } G_{\bar{p}}(x) < m$  on a set with positive measure.

If  $B_G < \infty$  then, for each  $F \in L^2[0, 1]^d$ , we have

$$\begin{aligned} & \sum_{p=1}^N \sum_{j=1}^s \sum_{\alpha \in \mathbb{Z}^d} \left| \left\langle F(x), \overline{g_j(x)} \chi_p(x) e_\alpha(M^T x) \right\rangle_{L^2[0, 1]^d} \right|^2 \\ &= \sum_{p=1}^N \frac{1}{m} \|G_p(x)\mathbb{F}_p(x)\|_{L^2(M^{-T}[0, 1/N]^d)}^2 \\ &\leq B_G \frac{1}{m} \sum_{p=1}^N \|\mathbb{F}_p(x)\|_{L^2(M^{-T}[0, 1/N]^d)}^2 \\ &= B_G \frac{1}{m} \sum_{p=1}^N \int_{M^{-T}[0, 1/N]^d} \sum_{k=1}^m |(F\chi_p)(x + M^{-T}\gamma_k/N)|^2 dx \\ &= B_G \frac{1}{m} \sum_{p=1}^N \sum_{k=1}^m \int_{Q_k} |(F\chi_p)(x)|^2 dx \\ &= B_G \frac{1}{m} \sum_{p=1}^N \int_{[0, 1/N]^d} |(F\chi_p)(x)|^2 dx \end{aligned}$$

$$= B_G \frac{1}{m} \sum_{p=1}^N \int_{[(p-1)/N, p/N)^d} |F(x)|^2 dx = \frac{B_G}{m} \|F\|_{L^2[0,1]^d}^2$$

Hence  $\left\{ \overline{g_j(x)} \chi_p(x) e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d \right\}$  is a bessel sequence for  $L^2[0,1]^d$  and the optimal Bessel bound is less than or equal to  $\frac{B_G}{m}$ .

Let  $K < B_G$ . Then, there a set  $\Omega_K \subset M^{-T}[0,1/N]^d$  with positive measure and  $1 \leq \tilde{p} \leq N$  such that  $\lambda_{max} [G_{\tilde{p}}^*(x) G_{\tilde{p}}(x)] \geq K$  for  $x \in \Omega_K$ . Let  $F \in L^2[0,1]^d$  such that its associated vector function  $\mathbb{F}_{\tilde{p}}(x)$  is 0 if  $x \in M^{-T}[0,1/N]^d \setminus \Omega_K$ ,  $\mathbb{F}_{\tilde{p}}(x)$  is an eigenvector of norm 1 associated with the largest eigenvalue of  $G_{\tilde{p}}^*(x) G_{\tilde{p}}(x)$  if  $x \in \Omega_K$  and  $\mathbb{F}_p(x) = 0$  if  $p \neq \tilde{p}$ . Using (1.3), we obtain

$$\begin{aligned} & \sum_{j=1}^s \sum_{\alpha \in \mathbb{Z}^d} \left| \left\langle F(x), \overline{g_j(x)} \chi_{\tilde{p}}(x) e_\alpha(M^T x) \right\rangle_{L^2[0,1]^d} \right|^2 \\ & \geq \frac{1}{m} \int_{M^{-T}[0,1/N]^d} K |\mathbb{F}_{\tilde{p}}(x)|^2 dx = \frac{K}{m} \|F\|_{L^2[0,1]^d}^2. \end{aligned}$$

Therefore if  $B_G = \infty$  then  $\left\{ \overline{g_j(x)} \chi_p(x) e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d \right\}$  is not a bessel sequence for  $L^2[0,1]^d$ , and if  $B_G < \infty$  then the optimal Bessel bound is  $B_G/m$ . This completes the proof of (b). The proofs of (c) are completely analogous.

To prove (d), we assume that  $m = s$  and that the sequence is a frame. We see that it is a Riesz basis by proving that the analysis operator

$$\Lambda : L^2[0,1]^d \rightarrow \ell^2(\mathbb{Z}^d)^{(N \times s)},$$

i.e.

$$\begin{aligned} \Lambda(F) := & \left\{ \left\langle F(x), \overline{g_j(x)} \chi_p(x) e_\alpha(M^T x) \right\rangle_{L^2[0,1]^d} : \right. \\ & \left. 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d \right\}. \end{aligned}$$

is surjective (see [7, Theorem 6.5.1]). To this end, notice that when  $m = s$  for any  $1 \leq p \leq N$  the matrix  $G_p, 1 \leq p \leq N$  is a square matrix and hence, the condition  $A_G > 0$  implies that for any  $1 \leq p \leq N$  the inverse matrix

$G_p^{-1}(x)$  exists and its entries are essentially bounded. For  $1 \leq p \leq N$ , let  $\{c_{j,\alpha}^p : 1 \leq j \leq s, \alpha \in \mathbb{Z}^d\}$  be an element of  $\ell^2(\mathbb{Z}^d)^{(s)}$ . For  $1 \leq p \leq N, 1 \leq j \leq s$  we define the function

$$\xi_j^p(x) := m \sum_{\alpha \in \mathbb{Z}^d} c_{j,\alpha}^p e_\alpha(M^T x),$$

and let  $F$  be the function such that

$$\mathbb{F}_p(x) = G_p^{-1}(x) (\xi_1^p(x), \xi_2^p(x), \dots, \xi_s^p(x))^T, x \in M^{-T}[0, 1/N]^d.$$

This function belongs to  $L^2[0, 1]^d$  because the entries of  $G_p^{-1}(x)$  are essentially bounded. We have that  $G_p(x)\mathbb{F}_p(x) = (\xi_1^p(x), \xi_2^p(x), \dots, \xi_s^p(x))^T$ , and using (1.2) we obtain that

$$\begin{aligned} & \left\langle F(x), \overline{g_j(x)} \chi_p(x) e_\alpha(M^T x) \right\rangle_{L^2[0,1]^d} \\ &= \int_{M^{-T}[0,1/N]^d} \xi_j^p(x) N^{d/2} e^{2\pi N i \alpha^T \cdot M^T x} dx = c_{j,\alpha}^p. \end{aligned}$$

and consequently  $\Lambda(F) = \{c_{j,\alpha}^p : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$ .

Conversely, if  $\{\overline{g_j(x)} \chi_p(x) e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  is a Riesz basis. Let  $\{f_{j,p,\alpha} : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  be its dual Riesz basis. Then, by using(1.2) we obtain for  $1 \leq p \leq N$

$$\begin{aligned} m \delta_{\alpha,0} \delta_{j,j'} &= \int_{M^{-T}[0,1/N]^d} \sum_{k=1}^m (f_{j',p,0} \chi_p)(x + M^{-T} \gamma_k / N) \times \\ &\quad (g_j \chi_p)(x + M^{-T} \gamma_k / N) N^{d/2} e^{2\pi N i \alpha^T \cdot M^T x} dx \end{aligned}$$

Therefore, for  $1 \leq j, j' \leq s$ , we have

$$\sum_{k=1}^m (f_{j',p,0} \chi_p)(x + M^{-T} \gamma_k / N) (g_j \chi_p)(x + M^{-T} \gamma_k / N) = m \delta_{j,j'}, a.e.$$

Thus the matrix  $G(x)$  has a right inverse; in particular,  $s \leq m$ . As a consequence (a) we have  $s \geq m$  and, finally,  $s = m$ .  $\square$

We consider  $s$  linear-invariant systems  $\mathcal{L}_j, 1 \leq j \leq s$  in  $L^2(\mathbb{R}^d)^{(r)}$  such that for any  $f = (f_1, f_2, \dots, f_r)^T \in L^2(\mathbb{R}^d)^{(r)}$ ,

$$(\mathcal{L}_j f)(t) = [f * P](t) = \sum_{q=1}^r \int_{\mathbb{R}^d} f_q(x) p_{j,q}(t - x) dx,$$

where  $P(x)$  is an  $s \times r$  matrix with entries  $p_{j,q} \in L^1(\mathbb{R}^d)$ ,  $1 \leq j \leq s$ ,  $1 \leq q \leq r$ . Let  $h_j(t) = \left( \overline{p_{j,1}(-t)}, \overline{p_{j,2}(-t)}, \dots, \overline{p_{j,r}(-t)} \right)^T$ , we have

$$(\mathcal{L}_j f)(t) = \langle f(\cdot), h_j(\cdot - t) \rangle_{L^2(\mathbb{R}^d)^{(r)}}.$$

The set of systems  $\{\mathcal{L}_1, \mathcal{L}_2, \dots, \mathcal{L}_s\}$  is an  $M$ -stable filtering sampler for  $V_\varphi^2$  if there exist two positive constants  $C_1$  and  $C_2$  such that [5] for any  $f = f^{(1)} + f^{(2)} + \dots + f^{(N)} \in V_\varphi^2$  where  $f^{(p)} \in V_{\varphi_p}^2$ , we have

$$C_1 \|f\|_{L^2(\mathbb{R}^d)^{(r)}}^2 \leq \sum_{p=1}^N \sum_{j=1}^s \sum_{\alpha \in \mathbb{Z}^d} \left| \mathcal{L}_j f^{(p)}(M\alpha) \right|^2 \leq C_2 \|f\|_{L^2(\mathbb{R}^d)^{(r)}}^2.$$

For  $1 \leq j \leq s$  and  $1 \leq p \leq N$ , we define  $g_{j,p}(x)$  by

$$g_{j,p}(x) := \sum_{\alpha \in \mathbb{Z}^d} (\mathcal{L}_j \varphi_p)(\alpha) e^{-2\pi Ni\alpha^T x}. \quad (1.4)$$

**Lemma 1.3.** *Let  $f$  be a function in  $V_\varphi^2$  such that  $f = f^{(1)} + f^{(2)} + \dots + f^{(N)}$  where  $f^{(p)} \in V_{\varphi_p}^2$  and  $f^{(p)} = T_{\varphi_p} F_p$ ,  $F_p \in L^2([(p-1)/N, p/N]^d)$ . For every  $1 \leq j \leq s$ , we have*

$$(\mathcal{L}_j f^{(p)})(M\beta) = \left\langle F_p(\cdot), \overline{g_{j,p}(\cdot)} e_\beta(M^T \cdot) \right\rangle_{L^2[0,1]^d}, \quad \beta \in \mathbb{Z}^d. \quad (1.5)$$

**Proof.** For each  $\beta \in \mathbb{Z}^d$  we have

$$\begin{aligned} (\mathcal{L}_j f^{(p)})(M\beta) &= \langle f^{(p)}(\cdot), h_j(\cdot - M\beta) \rangle_{L^2(\mathbb{R}^d)^{(r)}} \\ &= \left\langle \sum_{\alpha \in \mathbb{Z}^d} c_{F_p, \alpha} \varphi_p(\cdot - \alpha), h_j(\cdot - M\beta) \right\rangle_{L^2(\mathbb{R}^d)^{(r)}} \\ &= \sum_{\alpha \in \mathbb{Z}^d} c_{F_p, \alpha} \langle \varphi_p(\cdot - \alpha), h_j(\cdot - M\beta) \rangle_{L^2(\mathbb{R}^d)^{(r)}} \\ &= \sum_{\alpha \in \mathbb{Z}^d} c_{F_p, \alpha} (\mathcal{L}_j \varphi_p)(M\beta - \alpha) \\ &= \sum_{\alpha \in \mathbb{Z}^d} \langle F_p(\cdot), e_\alpha(\cdot) \rangle_{L^2([(p-1)/N, p/N]^d)} (\mathcal{L}_j \varphi_p)(M\beta - \alpha) \\ &= \left\langle F_p(\cdot), \sum_{\alpha \in \mathbb{Z}^d} \overline{(\mathcal{L}_j \varphi_p)}(M\beta - \alpha) e_\alpha(\cdot) \right\rangle_{L^2([(p-1)/N, p/N]^d)} \\ &= \left\langle F_p(\cdot), \overline{g_{j,p}(\cdot)} e_\beta(M^T \cdot) \right\rangle_{L^2([(p-1)/N, p/N]^d)}. \end{aligned}$$

□

**Theorem 1.4.** Assume that the function  $g_{j,p}(x)$  given in (1.4) belong to  $L^\infty([(p-1)/N, p/N]^d)$  for each  $1 \leq j \leq s$  and  $1 \leq p \leq N$ . Let  $G_p(x)$  be the associated matrix define in  $[(p-1)/N, p/N]^d$  as in (1.1). The following statements are equivalents:

- (a)  $A_G > 0$ ;
- (b) The set of systems  $\{\mathcal{L}_1, \mathcal{L}_2, \dots, \mathcal{L}_s\}$  is an  $M$ -stable filtering sampler for  $V_\varphi^2$ ;
- (c) For  $1 \leq p \leq N$ , there exist vectors  $(d_1^p(x), d_2^p(x), \dots, d_s^p(x))$  with entries  $d_j^p \in L^\infty([(p-1)/N, p/N]^d)$  satisfying

$$(d_1^p(x), d_2^p(x), \dots, d_s^p(x))G_p(x) = (1, 0, \dots, 0) \\ \text{a.e.in } [(p-1)/N, p/N]^d; \quad (1.6)$$

- (d) There exists a frame for  $V_\varphi^2$  having the form  $\{S_j^p(t - M\alpha) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  such that for any  $f \in V_\varphi^2$

$$f = m \sum_{j=1}^s \sum_{p=1}^N \sum_{\alpha \in \mathbb{Z}^d} \mathcal{L}_j f^{(p)}(M\alpha) S_j^p(t - M\alpha) \quad \text{in } L^2(\mathbb{R}^d)^{(r)}. \quad (1.7)$$

**Proof.** Part (c) in Lemma 1.2 proves that conditions (a) and (b) are equivalent.

If  $A_G > 0$  then for any  $1 \leq p \leq N$ ,  $\text{ess inf}_{x \in [0, 1/N]^d} \det [G_p^*(x) G_p(x)] > 0$  and consequently, there exists the pseudo-inverse matrix

$$G_p^\dagger(x) = [G_p^*(x) G_p(x)]^{-1} G_p^*(x).$$

Moreover, its entries are essentially bounded and its first row satisfies (1.6). Therefore, (a) implies (c).

Next, we will prove that the condition (c) implies (d). Since we have assumed that  $g_{j,p}(x) \in L^\infty([(p-1)/N, p/N]^d)$  for any  $1 \leq j \leq s$  and  $1 \leq p \leq N$ , Lemma 1.2(b) proves that

$$\left\{ \overline{g_{j,p}(x)} e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d \right\}$$

is a Bessel sequence in  $L^2[0, 1]^d$ . The same argument proves that

$$\left\{ m d_j^p(x) e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d \right\}$$

is also a Bessel sequence in  $L^2[0, 1]^d$ . By (1.4) and (1.6), these two Bessel sequences satisfy

$$F(x) = m \sum_{j=1}^s \sum_{p=1}^N \sum_{\alpha \in \mathbb{Z}^d} \left\langle F(\cdot), \overline{g_{j,p}(\cdot)} e_\alpha(M^T \cdot) \right\rangle d_j^p(x) e_\alpha(M^T x), F \in L^2[0, 1]^d.$$

Hence, they form a pair of dual frames for  $L^2[0, 1]^d$  (see [7, Lemma 5.6.2]). Since  $S_j^p(t - M\alpha) = T_\varphi[d_j^p(\cdot)e_\alpha(M^T \cdot)](t)$  and  $T_\varphi$  is an isomorphism, the sequence  $\{S_j^p(t - M\alpha) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  is a frame for  $V_\varphi^2$ .

Last, we prove that the condition (d) implies (b). Notice that since we have assumed that  $\{\overline{g_{j,p}(x)} e_\alpha(M^T x) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  is a Bessel sequence with bound  $B_G/m$  and

$$(L_j f^{(p)})(M\beta) = \left\langle F(\cdot), \overline{g_{j,p}(\cdot)} e_\beta(M^T \cdot) \right\rangle_{L^2([(p-1)/N, p/N)^d]}.$$

For each  $f \in V_\varphi^2$ , we have

$$\sum_{p=1}^N \sum_{j=1}^s \sum_{\alpha \in \mathbb{Z}^d} \left| \mathcal{L}_j f^{(p)}(M\alpha) \right|^2 \leq \frac{B_G}{m} \|F\|_{L^2[0,1]^d}^2 \leq \frac{B_G \|T_\varphi^{-1}\|_{oper}}{m} \|f\|_{L^2(\mathbb{R}^d)^{(r)}}^2.$$

If  $\{S_j^p(t - M\alpha) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$  is a frame for  $V_\varphi^2$ , then the formula (1.7) gives

$$\begin{aligned} \|f\|_{L^2(\mathbb{R}^d)^{(r)}}^2 &= m^2 \left\| \sum_{j=1}^s \sum_{p=1}^N \sum_{\alpha \in \mathbb{Z}^d} \mathcal{L}_j f^{(p)}(M\alpha) S_j^p(t - M\alpha) \right\|_{L^2(\mathbb{R}^d)^{(r)}}^2 \\ &\leq m^2 C \sum_{p=1}^N \sum_{j=1}^s \sum_{\alpha \in \mathbb{Z}^d} \left| \mathcal{L}_j f^{(p)}(M\alpha) \right|^2, \end{aligned}$$

where  $C$  is a Bessel bound for  $\{S_j^p(t - M\alpha) : 1 \leq j \leq s, 1 \leq p \leq N, \alpha \in \mathbb{Z}^d\}$ . Hence, the set  $\{\mathcal{L}_1, \mathcal{L}_2, \dots, \mathcal{L}_s\}$  is an  $M$ -stable filtering sampler for  $V_\varphi^2$ .  $\square$

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